



CERTIFICATE UNDER 37 CFR § 1.10 OF MAILING BY "EXPRESS MAIL"

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By:

*Sarah M. Barnett*

Sarah M. Barnett

PATENT

Customer Number 22,852

Attorney Docket No. 04121.0103-05000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BAUER, John C. et al.

Application No.: 10/811,062

Filed: March 25, 2004

For: CIRCULAR SITE-DIRECTED  
MUTAGENESIS

)

) Group Art Unit: 1637

)

) Examiner: Kenneth R. Horlick

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) Confirmation No. 8060

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**MAIL STOP RCE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the enclosed IDS Form PTO/SB/08. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE).

Copies of the U.S. patent publications are not enclosed. Copies of the listed non-patent literature documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the claimed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

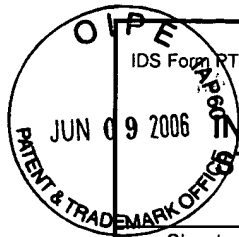
If there is any fee due in connection with the filing of this Statement, please charge the fee to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 9, 2006

By:   
Jennifer L. Davis  
Reg. No. 54,632  
Customer No. 22,852



IDS Form PTO/SB/08: Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/811,062
Filing Date	March 25, 2004
First Named Inventor	John C. BAUER
Art Unit	1637
Examiner Name	Kenneth R. HORLICK
Attorney Docket Number	04121.0103-05000

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-6,391,548 B1	05-21-2002	BAUER et al.	
		US-6,713,285 B2	03-30-2004	BAUER et al.	
		US-			
		US-			
		US-			
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		US-			

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.****FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Office Action in U.S. Patent Application No. 08/567,881, mailed on October 17, 1996.	
		Notice of Allowability, Examiner's Amendment, and Examiner Interview Summary Record in U.S. Patent Application No. 08/567,881, mailed on April 16, 1997.	
		Office Action in U.S. Patent Application No. 08/844,524, mailed on October 15, 1997.	
		Response filed in U.S. Patent Application No. 08/844,524, on April 15, 1998.	
		Office Action in U.S. Patent Application No. 08/844,524, mailed on July 17, 1998.	
		Response filed in U.S. Patent Application No. 08/844,524, on December 10, 1998.	
		Notice of Allowability and Interview Summary in U.S. Patent Application No. 08/844,524, on January 22, 1999.	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

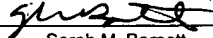
IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/811,062
				Filing Date	March 25, 2004
				First Named Inventor	John C. BAUER
				Art Unit	1637
				Examiner Name	Kenneth R. HORLICK
Sheet	2	of	2	Attorney Docket Number	04121.0103-05000

NON PATENT LITERATURE DOCUMENTS		
	Office Action in U.S. Patent Application No. 09/274,383, mailed on August 16, 2000.	
	Response filed in U.S. Patent Application No. 09/274,383, on January 16, 2001.	
	Examiner's Amendment in U.S. Patent Application No. 09/274,383, mailed on June 29, 2001.	
	Response filed in U.S. Patent Application No. 09/274,383, on June 29, 2001.	
	Preliminary Amendment filed in U.S. Patent Application No. 10/144,652, on August 16, 2002.	
	Office Action in U.S. Patent Application No. 10/144,652, mailed on December 13, 2002.	
	Response filed in U.S. Patent Application No. 10/144,652, on March 11, 2003.	
	Office Action in U.S. Patent Application No. 10/144,652, mailed on May 30, 2003.	
	Response filed in U.S. Patent Application No. 10/144,652, on September 3, 2003.	
	Office Action in U.S. Patent Application No. 10/229,390, mailed on February 6, 2006.	
	Response filed in U.S. Patent Application No. 10/229,390, on May 8, 2006.	
	International Search Report for International Patent Application No. PCT/US96/19387, mailed on March 21, 1997.	
	PCT Written Opinion for International Patent Application No. PCT/US96/19387, mailed on December 10, 1997.	
	PCT International Preliminary Examination Report for International Patent Application No. PCT/US96/19387, dated February 18, 1998.	
	European Patent Office Examination Report for European Patent Application No. 96942905, dated May 13, 2003.	
	European Patent Office Examination Report for European Patent Application No. 96942905, dated July 2, 2004.	
	Official Action in Japanese Patent Application No. JP 9-521433, mailed on October 21, 2003.	
	English Translation of Official Action in Japanese Patent Application No. JP 9-521433, mailed on October 21, 2003, provided by A. Aoki, Ishida & Associates, Tokyo, Japan.	Yes
	Official Action in Japanese Patent Application No. JP 9-521433, mailed on April 19, 2005.	
	English Translation of Official Action in Japanese Patent Application No. JP 9-521433, mailed on April 19, 2005, provided by A. Aoki, Ishida & Associates, Tokyo, Japan.	Yes
	Official Action in Canadian Patent Application No. 2,239,879, mailed on March 9, 2005.	

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By:   
Sarah M. Barnett

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.